

nib Adviser Oversight Framework

Self-Declaration



This self-declaration is to be completed by all advisers who have not confirmed their licence status using nib adviser access and who hold either an nib Intermediary Agreement or are sub-advisers under another Agreement.

Adviser name

Adviser FSPR number

I operate under the following nib UANs and FAP licences that authorise me to provide health insurance financial advice to retail clients:

nib UAN

Adviser Business name

FAP name

FAP licence FSPR number

1. Compliance with the terms of the Financial Advice Provider (FAP) licence and associated legislation and regulation

I confirm that I understand and am complying with the terms of the Financial Advice Provider licence I operate under, the Code of Professional Conduct for Financial Advice Services, the relevant provisions of the Financial Markets Conduct Act and all other legislation and regulation appropriate to the provision of financial advice.

Yes No

2. Understanding the nib products I advise my clients on:

Advisers are required to have a thorough knowledge of the products they advise on and if required, need to provide evidence that they are competent.

nib requires that you complete the nib product accreditation modules. You will need to have completed nib accreditation at least once, as well as any refresher and/or new product modules required from time to time, to be able to submit applications to nib. These modules can be accessed through the nib learning management system (LMS).

I confirm that I have the necessary knowledge of the need for private health insurance and the solutions available from nib for me to be able to provide client advice in this area and meet my obligations under the Code of Professional Conduct for Financial Advice Services.

Yes No

3. Compliance with the terms of the nib Intermediary Agreement:

If you are the holder of an nib Intermediary Agreement please confirm the following.

I confirm that I and the Representatives working under my nib Intermediary Agreement understand and are complying with the terms of the Agreement.

Yes No Not applicable (I am a sub-adviser)

Save the completed declaration, with your surname and FSPR number in the filename

Then, attach to an email the following two documents:

- the completed declaration
- a document that confirms your licence status (e.g. a letter from the FMA or the FAP you work under, or an FSPR screenshot etc.)

and email the documents to nib at advisersupport@nib.co.nz